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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/910,445

07/20/2001

Charles Evert Prael

214.1001.01

22883 SWERNOFSKY LAW GROUP P O BOX 390013 MOUNTAIN VIEW, CA 94039-0013 CONFIRMATION NO. 2751
FORMALITIES LETTER
OC000000006510436

§ 2988

Date Mailed: 09/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$152.
 - \$72 for 8 total claims over 20.
 - \$80 for 2 independent claims over 3.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 572.

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$m{A}$ copy of this notice $m{MUST}$ be returned with the reply.	09910445	355.00 72.00 80.00 65.00	
Customer Service Center Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE	BNGUYEN1 00000107		
	20/2001 BN	FC:201 FC:203 FC:202 FC:205	





PTO/SB/21 (08-00)

228 Sapproved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTA LADEN A DE NA		Application Number	09/910,445		
		Filing Date	Jul 20, 2001 Prael NYA		
		First Named Inventor			
		Group Art Unit			
		Examiner Name	NYA		
Total Number of Pages in This Submission	8	Attorney Docket Number	214.1001.01		

Total Number of Pages in This Submissi	on 8	Attorney Docket Number	214.10	001.01			
ENCLOSURES (check all that apply)							
Fee Transmittal Form	As:	signment Papers r an Application)	After Allowance Communication to Group				
Fee Attached	Dra	awing(s)	Appeal Communication to Board of Appeals and Interferences				
Amendment / Reply	Lic	ensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final	Per	tition	Proprietary Information				
Affidavits/declaration(s)		tition to Convert a ovisional Application		Status Letter			
Extension of Time Request		wer of Attorney, Revocation ange of Correspondence Address		Other Enclosure(s) (please identify below): Declaration by Inventors Statement Under 37 CFR 3.73(b)			
Express Abandonment Request	Ter	rminal Disclaimer		Return Postcard			
Information Disclosure Statement	Re	quest for Refund					
Certified Copy of Priority Document(s)), Number of CD(s)					
Response to Missing Parts/ Incomplete Application	Remarks		•				
Response to Missing Parts under 37 CFR 1.52 or 1.53							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm or Individual name Steven A. Swernofsky				Reg. no. 33,040			
Signature Savendeby							
Date 9/13/2001							
CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:							
· · · · · · · · · · · · · · · · · · ·	ilhas, Paralega			1 1-17 01			
Signature Multe Malkas			Date	9-13-01			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time your are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



TOTAL AMOUNT OF PAYMENT



PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

PATENT TRADEMARK OFFICE Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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for FY 2001

Patent fees are subject to annual revision.

(\$)572.00

Complete if Known					
Application Number	09/910,445				
Filing Date	7/20/2001				
First Named Inventor	Prael, et al.				
Examiner Name	NYA				
Group/Art Unit	NYA				
Attorney Docket No.	214.1001.01				

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
1 The Commissioner is hereby authorized to charge indicated	3. A	ADDIT	ONAL	. FEES		
I he Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	Large Entity Small Entity Fee Description		Fee Paid			
	Fee Code	Fee (\$)	Fee Code	Fee (\$)		
Deposit FO 0005						25.00
Account Number 50-0365	105	130	205	65	Surcharge – late filing fee or oath	65.00
	127	50	227	25	Surcharge – late provisional filing fee or cover sheet.	
Deposit Account Name Swernofsky Law Group	139	130	139	130	Non-English specification	
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	147	2,520	147	2,520	For filing a request for reexamination	
Applicant claims small entity status. See 37 CFR 1.27	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
2. Payment Enclosed:	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
Check Credit card Money Other	115	110	215	55	Extension for reply within first month	
FEE CALCULATION	116	390	216	195	Extension for reply within second month	
1. BASIC FILING FEE	117	890	217	445	Extension for reply within third month	
Large Entity Small Entity	118	1,390	218	695	Extension for reply within fourth month	
Fee Fee Fee Fee Description	128	1,890	228	945	Extension for reply within fifth month	
Code (\$) Fee Paid 101 710 201 355 Utility filing fee 355.00	119	310	219	155	Notice of Appeal	
101 110 201 000 011111 11111	120	310	220	155	Filing a brief in support of an appeal	
106 320 206 160 Design filing fee 107 490 207 245 Plant filing fee	121	270	221	135	Request for oral hearing	
107 490 207 245 Plant filing fee 108 710 208 355 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
114 150 214 75 Provisional filing fee	140	110	240	55	Petition to revive – unavoidable	
114 130 214 73 Hovisionarining ice	141	1,240	241	620	Petition to revive – unintentional	
SUBTOTAL (1) (\$)355.00	142	1,240	242	620	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES	143	440	243	220	Design issue fee	
Fee from	144	600	244	300	Plant issue fee	
Extra Claims Below Fee Paid Total Claims 28 -20**= 8 X 9 = 72.00	122	130	122	130	Petitions to the Commissioner	
Independent - 3**- 3 X to = 80.00	123	50	123	50	Petitions related to provisional applications	
Claims 5 5 2 40 00.00	ŧ					
Multiple Dependent	126	240	126	240	Submission of information Disclosure Stmt Recording each patent assignment per	
Large Entity Small Entity Fee Fee Fee Fee	581	40	581	40	property (times number of properties)	
Code (\$) Code (\$) Fee Description 103 18 203 9 Claims in excess of 20	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
102 80 202 40 Independent claims in excess of 3	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
104 270 204 135 Multiple dependent claim, if not paid	179	710	279	355	Request for Continued Examination (RCE)	
109 80 209 40 **Reissue independent claims over original patent	169	900	169	900	Request for expedited examination of a design application	
110 18 210 9 **Reissue claims in excess of 20 and over original patent	Other f	ee (spec	ify) _		ssag. approarier.	
SUBTOTAL (2) (\$)152.00	Ī					
**or number previously paid, if greater; For Reissues, see below	*Reduc	ed by B	asic Filir	ng Fee P	aid SUBTOTAL (3) (\$)65.00	

SUBMITTED BY					Complete (if applicable)	
Name (Print/Type)	Steven A. Swernofsky	Registration No. (Attorney/Agent)	33,040	Telephone	650-947-0700	
Signature	Aswerno Stay			Date	9/13/2001	